

## **Anatolian**

Class 4: Anatolian (morpho)syntax



## **Anatolian syntax**

- **Hittite syntax** better explored (also from different theoretical angles), no monographic treatment available (but Luraghi 1990)
- Luwian syntax: monographic treatment in a formal framework (Giusfredi 2020)
- Minor languages: no systematic treatment, due to poor status of attestation
- Comparative Anatolian view of selected topics in Luraghi (2017)

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# Word order



#### Word order: verb final and Wackernagel's law

```
piran=ma= at= mu ^{mD}XXX.^{D}U-as DUMU ^{m}zida
before CONN 3SG.N/A 1SG.OBL A.:NOM
                                            child Z.
<sup>m</sup>maniyahhiskit
administer:3sg.pret.iter
'Before me Armadatta, the son of Zida, had administered it.'
                                                 KUB 1.1 i 28 (Hittite);
[tiy]ammis=pa=ti [t]ap-PIŠ-sa\ naw[a\ a]yari
earth: NOM CONN PTC heaven: NOM NEG become: 3sg.prs
'And the earth does not become heaven.' KUB 35.54 ii 43–44 (Cun. Luvian);
ni = pa = si
                 musanti
NEG PTC 3PL.OBL satisfy:3PL.PRS
'They cannot be satisfied.' KUB 32.18 9 (Palaic);
```

 $fak = m = \lambda = it = in \quad q\lambda d\tilde{a}n = k \quad artimu = k$  conn conn 3sg.dat ptc ptc Q:nom and A:nom and katsarlokid

bring.destruction:3PL.PRS

'May the gods Q\dans and Artemis bring destruction to him.' 23.10 (Lydian).

Word order changes largely **pragmatically** motivated (e.g. Luraghi 1990, Goedegebuure 2014)



#### Wackernagel's law in Hittite

Host	Quotative	1 <sup>st</sup> /2 <sup>nd</sup>	3 <sup>rd</sup> PRO	ACC/DAT	REFL	local
		PRO.PL		PRO		particles
<ul> <li>Accented word</li> <li>(+ (y)a/(m)a/man)</li> <li>Connectives:</li> <li>nu, šu, ta</li> </ul>	=war (Luw <i>wa-</i> , Lyc. <i>-(u)we-</i> )	=naš =šmaš	<i>=a-</i>	=mu =ta/du =še/i		=an =apa =ašta =kan =šan

NB: order not identical across Anatolian lgs.

**Clitic** chains

Wackernagel's enclitic < PIE</p>

Sentence initial connectives: Luw. a=, Pal. a=/nu=, Lyd. ak= (PA \* $\acute{o}$  < \* $\acute{o}h_1$ ), Lyc. s= **BUT** also Hattic  $pala/bala \rightarrow$  **Anatolian areal** feature? (Watkins 2001)



#### **Hittite: NP order**

AN: (1) parkuin išnan pure.ACC dough.ACC

'pure dough'

GN: (2) URU Nešaš LUGAL-uš

N.GEN king.NOM

'the king of Nesa'

DN: (3) apūn memiyan

DEM.ACC word(C).ACC

'that word/matter'

→ exception: postposed *humant-,* dapiant- 'all' and participles

utnē **hūman** 'the entire land'

DGN: (4) kāš tandukešnaš DUMU-aš

DEM.NOM mortality.GEN son.NOM

'this mortal (lit. this child of mortality)' KUB 7.5 i 8



#### **Hittite: verb fronting**

```
halinaš teššummiuš tarlipit šuwamuš 2-ki petumeni
clay.gen vessel.acc.pl t.inst full.acc.pl twice bring.prs.1pl
                           ešhar
tarueni=ma=at
say.prs.1pl=conn=3sg.acc.n blood(n).acc
DUMU.É.GAL-iš d.Hantašepan LUGAL-iš kiššari dai
                             king.dat hand.dat put.prs.3sg
               H.ACC
servant.NOM
"Twice we bring inside the clay vessels full of t. (we call it blood);
 the palace servant puts a H. divinity in the hand of the king."
 (KBo 17.1 i 18'-28')
```



## Word order in Lycian

```
verb fronting
```

```
m\hat{e}= (e)ne tubidi qlaj ebi se Malija se CONN 3SG.OBL strike:3PL.PRS precinct local and M:NOM and tasa miñtaha oath:N/A.PL m:ADJ.N/A.PL 'The local precinct and Malija and the oaths of the minti will strike him.'
```

TL 75.5 (Lycian);

ebênnê  $\chi up \tilde{a}$   $m \hat{e} = (e) n \hat{e}$   $pr \tilde{n} n a wate$   $Trij \hat{e} tezi$  this: ACC tomb: ACC CONN 3SG. ACC build: 3SG. PRET T: NOM 'This tomb, Trij etezi built it.' TL 8.1–2 (Lycian).

left dislocation

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# Grammatical relations



#### **Neuter nouns in Anatolian**

• Neuter plural subjects = singular agreement

mĀskali=ma **uddār** 

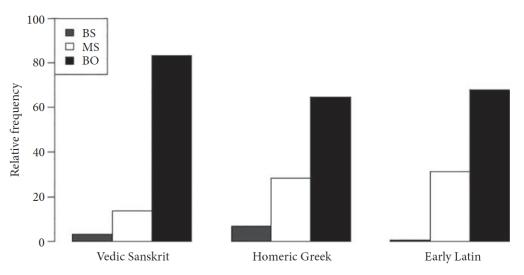
arāiš

A.DAT=CONN word(N).NOM.PL rise.PST.3SG

Dahl (2022b: 48)

'Accusations arose against Askali.' (KBo 3.34 ii 18)

Banned from A position



Traces in Latin, Indo-Iranian,

Greek (Dahl 2021)

Fig. 2.2 Distribution of neuter nouns in core argument functions BS = Bivalent Subject; MS = Monovalent Subject; BO = Bivalent Object



## **Split ergativity in Anatolian**

LUGAL-u- 'king (c.)' paḥḥur 'fire' (r	ı.)
---------------------------------------	-----

A LUGAL-*uš* paḥḥuwen-anza

S LUGAL-*uš paḫḫur* 

P LUGAL-*un* paḥḥur

Already Laroche (1962):

• CLuw. -antiš, -antinzi

• Lyc. - *ẽti* 

s=ẽne

CONN=3sg.acc

trmmili-j-eti

Lycian-adj-ant.NOM.PL

'The Lycian oaths will destroy him.' (TL 149,10)

teseti:

oath(n).ant.NOM.PL

qãñti:

destroy/seize?.prs.3pl

shared **Anatolian** feature



## The origin of -anza

• Garrett (1990): -anza ABLATIVE > ERGATIVE

```
URU Samuḥann=a... alwanzešnaza šer šunnista
S.ACC=CONJ witchcraft.ABL/ERG above fill.PST.3sG
'And he filled Samuha ... with witchcraft' > 'And witchcraft filled Samuha.'

(StBoT 24 ii 78, from Garrett 1990a: 278)
```

#### **Problems:**

- -*anza* is the ablative allomorph of *r/n*-stems, against expected more common -(a)z (PIE \*-óti, \*-ti), e.g. nēpiš-za `from the sky'
- ablative used as Agent of the passive instead of the instrumental only from MH onwards (Melchert 1977)



#### **Alternative account**

#### Stage I (OH):

-ant-s/eš derivational 'individualizing' suffix with neuter nouns in A (PIE poss. \*-e/ont-)

Stage II (NH): -ants/-anteš ergative inflectional case endings

**Table 4.3** Distribution of derivational and ergative *-ant-* (adapted from Goedegebuure 2018)

	Language Stage		
Status of -ants	OH/MH/Murs II	NH	Tot.
Derivational suffix	51	_	51
Potentially ergative	107	101	208
Tot.	158	101	259

NB: **Luwian** -*ant*-always derivational!



### Split intransitivity: 3rd person enclitics

#### **Object clitics** → **transitive verbs**

takku  $\mathbf{GU_4}$ .MA $\mathbf{H_i}$  kuiški wemiezzi  $t=\mathbf{an_i}$  parkunuzi if bull INDF.NOM find:PRS.3SG CONN=3SG.AC purify:PRS.3SG 'If anyone finds a bull and castrates it' (KBo 6.2 iii 33)

#### **Unaccusative intransitives** → **subject clitics**

n=**aš** karū/nawi **paizzi** 

CONN=3sg.NOM already/yet go.prs.3sg

'He has already / hasn't yet gone.'

#### **Unergative intransitives** → **no subject clitics**

nu=wa=ššiEGIR-annuntarnutCONN=QUOT=3SG.DATbackhurry.pst.3sg

'He hurried after him.' (KUB 12.26 ii 14-15)



## The rise of split intransitivity in Hittite

Motion ver	·bs	-a-	ø
arḥi-	arrive at	2	1
arai <sup>ḫi</sup> -	arise	1	
ḥink <sup>a/ta</sup> -	bow	2	1
þија <sup>ђђі</sup> -	run	_	9
ijannāi <sup>mi</sup> -	go	2	
pai <sup>mi</sup> -	go	21	7
pittija-	flee	1	
šalik <sup>a</sup> -	approach		1
šamen <sup>mi</sup> -	withdraw	2	
tija <sup>mi</sup> -	step	15	2
	come	1	
ица <sup>ті</sup> - цађ <sup>ті</sup> -	turn	1	2
Total		48	23

Change-of-s	state verbs	-a-	Ø
akḥi-	die	24	
ḥark <sup>mi</sup> -	perish	2	
idalaueš <sup>mi</sup> -	turn bad	1	
kiš <sup>a</sup> -	become	2	
kištanzija-	starve	1	_
mijaa- (mai-	-)grow	1	
parkueš <sup>mi</sup> -	become	1	
clean GEME- <i>re</i> <sup>mi</sup> -	become a slave	1	
		33	

(21) states, spontaneous events > directional motion verbs > mannerof motion verbs



## The origin of split intransitity

Anatolian innovation: traces in Palaic, Luwian, and Lycian

**A. Analogy**: analogically built from object clitc to index P-like S arguments (Luraghi 1990, Teffeteller 2015)

**B. Contact**: development of enclitic pronominal systems in contact with Akkadian and Ugaritic (Viti 2015), areal trait of Anatolia (Watkins 2001)



## **Null referential objects**

Widespread feature in ancient IE languages, reconstructable to **PIE** (Luraghi 2010, Viti 2015)

Vedic

ánu púrvā $h_i$  kṛpate  $\emptyset_i$  vāvaśāná to former:ACC.PL yearn:PRS.3SG.MD long.for:PTCP.NOM

'She yearns for former (Dawns) with eager longing for (them).'

RV 1.113.10; Keydana 2009: 136

Ancient Greek

ἀλλά που αὐτὸν $_i$  θυμὸς ἐποτρύνει καὶ  $\emptyset_i$  ἀνώγει but PTCL 3sg.ACC heart:NOM move:PRS.3sg and force:PRS.3sg 'But certainly (his) heart moves him and forces (him).'

Il. 15.43; Luraghi 2003: 181

#### Latin

ad hanc  $te_i$  amentiam natura peperit, voluntas  $\emptyset_i$  to DEM.ACC.F 2SG.ACC fury(F):ACC nature:NOM generate:PST.3SG will:NOM exercuit, fortuna  $\emptyset_i$  servavit train:PST.3SG fate:NOM preserve:PST.3SG 'Nature generated you to this fury, will trained (you), and fate preserved (you).' Cicero, Cat. 1.25; Luraghi 1997: 241



## **Null referential objects in Hittite**

[ $(nu\ hašš)]az\ hulliš_i$   $d\bar{a}i$  [ $(nu\ and)a\ \varnothing_i\ (peššizzi)]^{11}$  conn hearth:ABL pine.cone:ACC take:PRS.3SG CONN inside throw:PRS.3SG '[And from the hear]th he takes a pine cone [and throws (it) inside].'

Table 2: Distribution of NOs and anaphoric resumption in OH

KBo 17.11+ i 40

Tout	DO omission		Anaphoric resumption		
Text	NOs	Ambiguous spelling	Clitic pronouns	Tonic pronouns	
rituals	25	18	58	10	
Laws	11	5	53	9	
Anitta	1	_	8	_	
Zalpa	3	_	11	_	
Chronicle	2	_	20	1	
instruction	1	_	_	_	
CTH 631	49	8	7	3	
Total	91	31	157	23	
Totat	91		180		

**Demise** of NOs from OH > NH

Inglese et al. 2019



#### Non-canonical subjects

Widespread feature in ancient IE languages, reconstructable to **PIE** (Viti 2017, Barðdal 2023, Barðdal et al. 2023)

Mich schauderte und \_\_\_ ekelte.

me.acc felt.horrified.3sG and Ø.acc felt.disgusted.3sG
'I felt horrified and disgusted.'

Suae quemque fortunae paenitet
his.own(gen.sg) each(acc.sg) lot(gen.sg) regret(pres.3sg)

'Each man is discontent with his lot'

(C. Fam. 6.1.1)

Ancient Greek (isolate; Aesch. Ch. 946)

hôi mélei kryptadíou máchas

REL.DAT.SG care.for.PRS.3SG clandestine.GEN.SG battle.GEN.SG

'who cares for the clandestine battle'



### Non-canonical experiencer subjects

#### **Accusative**

(1) *mān antuhšan SAG.DU-ŠU ištarakzi* if man.Acc head=3sg.poss ail.prs.3sg 'If a man has head pains.' (KUB 8.36 ii 12-13)

#### **Dative**

(2) nu=**šši** maḥḥan kāšti kāninti

CONN=3SG.DAT when hunger.DAT thirst.DAT

nakkešta

become\_troublesome.PST.3SG

'When it (=the population) started to suffer hunger and thirst.' (KUB 14.15 iii 45-46)

# Relative clauses

Huggard 2015, Melchert 2016, Inglese 2016, Motter 2023





#### **Correlative dypthic**

 $[REL_1 N_1 V] [PRO_1 V]$ 

Old Indic (from Lühr 2005: 84)

agne yam yajñam adhvaram viśvataḥ paribhūr asi

Agni: VOC REL.ACC sacrifice: ACC offering: ACC all. around surrounding be: PRS.2SG

sa id deveșu gacchati

DEM.NOM truly god:DAT.PL go:PRS.3SG

"Agni, the sacrifice and the offering that you completely encompass, that sacrifice truly goes to the gods." (RV1,1,4)

Ancient Greek

hós ke theoîs epipeíthētai mála t' ékluon

REL.NOM PTC god:DAT.PL obey:SUBJ.PRS.3SG.MID indeed PTC hear:AOR.3PL

autoû

DEM.GEN

"Whoever obeys the gods, they indeed listen to him." (Hom. Il. 1.218)

Lehmann 1974, Justus 1976



#### **Hittite**

```
GIŠ SUKUR HI.A=ma Ù GIŠ PAHIA kue harkanzi
spear (PL.N)=PTC CONJ scepter (PL.N) REL.ACC.PL.N hold:PRS.3PL
nu=šmaš=at=kan 1-aš LÚMEŠEDI arha dāi
CONN=3PL.DAT=3PL.ACC.N=PTC one:NOM guard away take:PRS.3SG
"But which spears and scepters they hold, one guard takes these away from them."
(KBo 4.9 Rev. v 3-5)<sup>54</sup>
```



## **Luwian and Lydian**

kalmiyanin -ti -an kuis ata

stick.ACC.SG REFL he/it.ACC which.NOM.SG make.PST3SG

a -ti kalmiyanin laddu

INTR REFL stick.ACC.SG take.IMP3SG

"He who made it a stick for himself should take the stick for himself." <sup>270</sup>

#### Lydian (Text 45, line 5)

ak=it esλ taacλ qis fẽnsλibid

CONJ=PTC this votive-object.D-LSg REL-Nsg violate.Pr3Sg

'Whoever violates this votive object...'



## Lycian

w. gods shall strike him!'

```
Lycian (TL 101, 3–5; Limyra)

kbi: tike: ti ñtepi tadi: a[t]la[h]i: tibe: kbijehi tibe=te:

other some.ASg REL-NSg in put.Pr3Sg his own or another's.ASg or=PTC

ala[h]adi ti: m=ene: mã[h]āi: tubeiti wed[rēñ]ni

inter.Pr3Sg REL-NSg CONJ=3ASg god.NPl strike.Pr3Pl w.NPl
```

'Whoever puts someone else inside, his own or another's, or whoever makes an interment, the



#### **Postposed restrictive RCs**

na-at-ta a-pu-u-un GEŠTIN-an pí-i-e-er LUGAL-uš **ku-in** a-uš-ta

NEG DEM.ACC wine:ACC give:PST.3PL king:NOM REL.ACC see:PST.2SG

"They did not give (me) that wine that you, the King, saw."

(KBo 3.34 ii 5-6 [Chronicle A])



#### **Postposed restrictive RCs**

```
Hieroglyphic Luvian (ANCOZ 7, §§5–6)

ni-pa-ta? REL+ra/i hu+ra/i-x-x INFRA ... || FINES-hi-zi .REL-sa

or=PTC somehow ... below boundaries.API REL-NSg

|za-la-na PONERE-wa/i-i mu-wa/i PURUS.FONS.MI REL-zi |pi-ya-ha
z. (adv.) put.Pr3Sg 1NSg=QUOT Suppiluliuma REL-API give.Pret1Sg

'Or who in any way...puts z. the boundaries that I, Suppiluliuma, made...'
```

Lycian (TL 80, 2)

se=i=ni ñtepi tãtu .tike ne=de xuwati=ti
and=PTC=PROHIB in put.Imv3Pl INDEF-ASg NEG=PTC stand-near.Pr3Sg=REL-NSg
'And let them not put anyone inside who is not closely related.'



#### **Embedded RCs?**

Hittite (KBo 6.2 ii 61–62; OH/OS) nu  $ap\bar{e}[l \to SU]$  kuel=a GIS eyan  $\bar{a}ski=ssi$   $sakuw\bar{a}n$  a[penissan] CONJ 3GSg house REL-GSg=PTC yew.N-ASg gate=his.D-LSg s.N-ASg likewise 'The house of him at whose gate a yew tree is s-ed is (treated) likewise.'

Lycian (N320, 22–25)

se=wa(j)=aitē: kumaha: ēti sttali: ppuweti: km̃mē:
and=PTC=make.Pret3Pl sacred.N-APl on stele.D-LSg write.Pr3Pl as-much.N-ASg
ebehi: xñtawataha: xbidēñnaha: se=rKKazumaha
this.D-LSg of-king.N-APl of-Kaunos.N-APl and=of-A.N-APl
'And they have made sacred as belonging to the King of Kaunos and Arkesima as much as they write on this stele.'

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# Verbal aspect



#### **Aspect in Hittite**

"Any basic verbal stem in Hittite may be read as perfective or imperfective, provided that its inherent meaning and the context are appropriate" (Hoffner & Melchert 2008: 317)

#### **PERFECTIVE**

namma=aš INA HURSAG Zukkuki EGIR-pa **uet** 

then=3sg.nom to mountain.Z. back come:pst.3sg

'Then he came back to Mt. Zukkuki' [KBo 5.6 i 1]

#### **IMPERFECTIVE**

nu kuitman  $^{\text{m.GIŠ}}$ GIDRU-LÚ-iš  $I\check{S}TU$  KUR  $^{\text{URU}}Mizri$  EGIR-pa CONN while H.:NOM from land Egypt back

#### uet

come:PST.3SG

'While Hattusaziti was coming back from the land of Egypt'

[KBo 5.6 iii 26]



### **Analytic telicity marking**

```
kuenzi=u\check{s} LUGAL-u\check{s} [...] n=an=kan kunanzi
```

kill.prs.3sg=3pl.acc king.nom conn=3sg.acc=ptc kill.prs.3pl

'The king may have them executed (or he may spare them) [...] (They shall substitute one sheep in the place of the man) and kill it.' (KBo 6.26 iv 17-21)

 $\bar{U}L=a\check{s}$  dapianza **arḥa** BIL-ni

NEG=3sg.nom entire.nom away burn.prs.mid.3sg

'(If the city of A. survives) and it does not entirely burn to the ground.' (KUB 15.12 iii 5)

**Telic** function of **preverbs** in other ancient IE languages, e.g. Lat. *edo* 'eat' > *com-edo* 'eat up', *dormio* 'sleep' > *ob-dormisco* 'fall asleep'



## "Aspectual" suffixes

**Derivational suffixes:** *-ške/a-*, *-šša-*, *-anna/i-* "synchronically [...] function effectively as suppletive allomorphs of a single morpheme" (Melchert 1998: 414)

#### Distribution of -ške/a-:

- optional: base forms =  $-\check{s}ke/a \rightarrow$  derivation!
- **BUT** "The use of the marked imperfective stem is virtually obligatory with distributive expressions such as UD-*at* UD-*at* 'day after day', ITU-*mi* ITU-*mi* 'month after month' ..." (Hoffner & Melchert 2008: 320)
- incompatible with <u>states</u> and adverbs <u>'X times'</u>



# The Hitt. suffix -ške/a-

• **Actional hypothesis:** -*ške/a-* changes the *Aktionsart* of the verb 'iterative-durative' (Sommer & Ehelolf 1924: 21-22, Gusmani 1965: 79), 'iterative' (Pedersen 1938: 132) 'distributive' (Neumann 1967: 24), 'iterative-durative-distributive' (Friedrich 1960, Rosenkranz 1966), 'durative-distributive' (Kammenhuber 1969: 217), 'iterative-durative-intensive' (Kronasser 1966)

- Aspectual hypothesis: -ške/a- = imperfective vs. neutral base forms
- **Pluractional hypothesis**: -*ške/a-* is a pluractional marker (Dressler 1968, Inglese & Mattiola 2020)



# Functions of -ške/a-

#### CONTINUATIVE

takku LÚ-aš  $GU_4=\check{S}U$  ÍD-an  $z\bar{i}$ -nu-ški- $zz\bar{i}$ 

if man:NOM ox=3sg.poss river:Acc cross-CAUS-IPFV-PRS.3sg

tamaiš=an šu[wezzi]

other:NOM=3SG.ACC push:PRS.3SG

'If a man is making his ox cross a river, and another man pushes him off (the ox's tail)' [KBo 6.2 ii 30, OH]

#### **FREQUENTATIVE**

namma ÉRIN.MEŠ-an MU-ti **pi-ška-nzi** 

then troop:ACC year:DAT year:DAT give-IPFV-PRS.3SG

'And they will keep providing troops year after year'

[KUB 23.72+ obv. 18, MH]



## Functions of -ške/a-

**ITERATIVE** 

nu <sup>LÚ</sup>A.ZU **hukki-ški-zzi** 

CONN physician invoke-IPFV-PRS.3SG

'(Then gold-spear-man holds a plated spear, and a physician holds a sistrum. They march together), and the 'physician' repeats the invocations'

HABITUAL

karū 1 MA.NA KÙ.BABBAR **pi-šk-er** kinuna

formerly 1 mine silver give-IPFV-PST.3PL now

20 GÍN KÙ.BABBAR *pāi* 

20 shekel silver give:PRS.3SG

'Before they used to give 1 mine of silver, now he gives 20 shekels of silver'

[KBo 6.2 i 10, OH]



# Functions of -ške/a-

#### PLURALITY OF PARTICIPANTS

- a. Plurality of objects

  nu DUMU.MEŠ=ŠU andan zikiet

  CONN son(PL)=3SG.POSS inside put-IPFV-3SG.PST

  '(She coated baskets with oil) and she placed her sons (one after the other) therein'

  [KBo 22.2 obv. 3, OH]
- b. <u>Plurality of S subjects (Agent)</u>

  mān LUGAL-waš peran **šie-ška-nzi**when king:GEN in.front.of shoot-IPFV-PRS.3PL

  'And when they shoot with their bows at the presence of the king (whoever wins, they give him wine to drink' [KBo 3.34 ii 33, OH/NS]
- c. Plurality of S subjects (Patient)

  nu=wa kinun=ma ammuk peran akki-ški-ttari

  CONN=QUOT now=PTC 1SG.DAT in.front.of die-IPFV-PRS.3SG.MID

  'But now under my kingdom (lit. in front of me) people die (lit. it dies)'

  [KUB 14.10+ i 12, NH]



## Functions of -ške/a-

#### INTENSIVE?

 $\dot{s}=an$   $\bar{e}-\dot{s}\dot{s}i-(\dot{s})k-er$ 

CONN=3SG.ACC do-IPFV-IPFV-PST.3PL

'(And then they took him away), they took 'good care' of him (so that he died)'

[KBo 3.34 ii 7, OH/NS]

#### SPATIAL DISTRIBUTIVE

nu  $w\bar{a}tar$  [ $I\check{S}TU$   ${}^{GI\check{S}}PA$ ] duwan duwann=a  $pappara-\check{s}ki-zzi$  CONN water: ACC with staff here here=CONJ sprinkle-IPFV-PRS.3SG 'And he sprinkles water here and there with the staff' [KBo 12.40 ii 8, NS]

#### GENERIC IMPERFECTIVITY

halkiš=wa mahhan NAM.LÚ.U<sub>19</sub>.LU GUD UDU grain:NOM=QUOT as human cattle sheep

huitarr=a hūman **huiš-nuški-zzi** 

game(N):ACC=CONJ all:ACC.N live-CAUS-IPFV-PRS.3SG

'Just as grain keeps all humans, cattle, sheep and wild game alive'



## Functions of -ške/a-

INCEPTIVE

**šeš-(š)ki-ška-nzi**=ya=at=za

sleep-IPFV-IPFV-PRS.3PL=CONJ=3PL.NOM=REFL

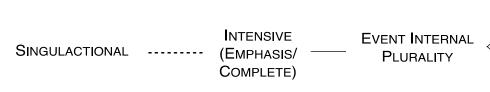
'(The horses eat all night long) and they go to sleep?' [KUB 29.54 i 10, MH]

Scarcely attested with **finite forms** but frequent in the analytic 'supine' construction [dai/tiya-`put' + supine] 'begin to...':

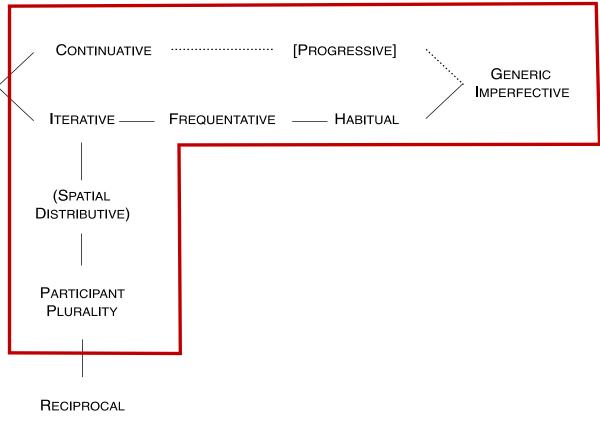
ÉRIN<sup>MEŠ</sup> pe-ške-wan dāir nu=mu troop(PL) CONN=1SG.DAT give-IPFV-SUP put:PST.3PL 'They began to give me troops' [KBo 3.34 iii 34, NH]



## The semantic map of -ške/a-



- -ške/a- is a derivational exponent of pluractionality;
- aspect is weakly grammaticalized in Hittite.



from Mattiola 2019



## Origin of -ške/a-

- Attested in other **Anatolian languages** (vd. Sasseville 2020: Cap. 13):
  - Cluw. -zza-, Hluw. -za-: similar to -ške/a-
  - Lycian -s-: no clear synchronic function
- -*ške/a-* < PIE \*-*ske/o-* (< \*-*s-ke/o-?* cf. Willi 2018: 480)
- **Presents** with zero grade root and accented suffix  $*g^wem^-$ 'go' >  $*g^wm^-sk\acute{e}/\acute{o}$  > Ved.  $g\acute{a}cchati$ , Gr.  $b\acute{a}ske$

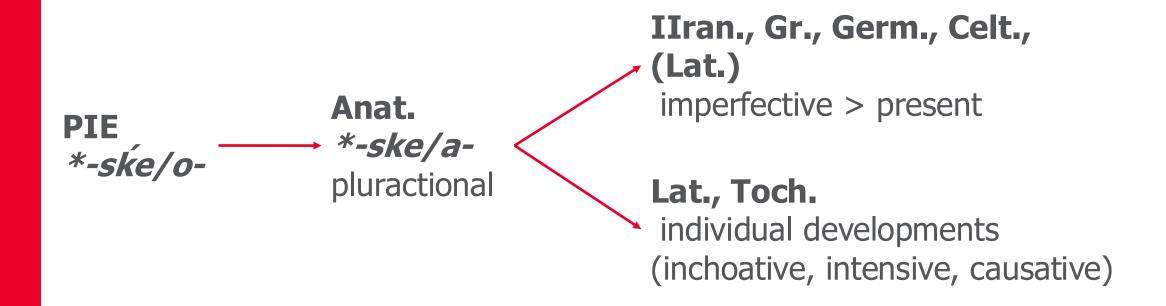


## Outcomes of PIE \*-ske/o-

- **Indo-Iranian**: Scr. -*ccha-*, Av. -*sa-* present stem formant, Ved. *gácchati* 'goes'
- **Greek** -*ske/o*present stem formant, Gr. *báske*, *gignóskō* know'
- **Irish** -*c*-, **Germanic** -*sc*-: relic in present stem formation
- Latin -sce/o-
  - inherited presents (*noscō* = *gignośkō* know')
  - habitual traces? esse 'be' > escit/escunt 'will (habitually) be'
  - inchoative forms caleō 'be hot' > calescō 'become hot'
- **Tocharian B** *-ṣṣạ-/-ske*: causative and intensive functions (vd. \**-éye/o-* iterative/causative, cf. Bozzone 2020)



## The diachrony of PIE \*-ske/o-



"there seems no doubt, however, that Hittite preserves the original meaning and that meanings other than imperfectivity [i.e., pluractionality] are innovations." (Adams 2014: 24–25)

# Periphrastic and serial verb constructions





## Hittite auxiliary verb constructions

hark-'hold, keep' + PTCP → default **NOM/ACC neuter** 

nu=wa karuššiyan harak
conn=quot be.silent.ptcp.nom/acc have.imp.2sg

"Keep (being) silent!" (KUB 14.4 iv 11, NH/NS)

 $e\check{s}$ - 'be' + PTCP  $\rightarrow$  subject agreement

n=at arḥa ḥarranteš ešer

CONN=3PL.NOM away damage.PTCP.NOM.PL be.PST.3PL

"They (sc. the birds that you sent to me) were spoiled." (AT 125.12, NH/NS)



## Hittite auxiliary verb constructions

memiyann=a=kan EGIR-anda arḥa UL ištamaššan
thing.acc=conn=ptcl afterwards away neg hear.ptcp.nom/acc
harmi
Aux + vb sequence
not interrupted!

have.prs.1sg

"And I have not heard afterwards about the matter."

(KUB 31.121 iii 16–17, NH/NS)

[...] UL arān ēsta

NEG rise.PTCP.NOM.N be.PST.3SG

"[...] had not risen."

(KUB 14.16 i 21, NH/NS)

n=at anzel iwar EGIR-pa UL kuiški

CONN=3PL.ACC.N 1PL.GEN like back NEG INDF.NOM

newahha[n hart]a

renew.ptcp.nom/acc have.pst.3sg

"And no one has renewed them like us." (KUB 17.21 + i 17, MH/MS)



#### **Stative constructions**

with telic/atelic verbs

 only available interpretation with **imperative** verbs!

GAM-an kaninanza ēšdu

under crouch.ptcp.nom be.imp.3sg

"Let him be crouched down."

(VBoT 120 ii 17–18, MH/NS)

nu=mu DINGIR<sup>LUM</sup> ištamanan **lagan** 

CONN=1sg.dat god ear.acc bend.ptcp.nom/acc

hark

have.IMP.2sG

"O god, keep your ear inclined to me."

(KUB 24.1 i 16–17, NH/NS)

nu=wa **karuššiyan harak** 

CONN=QUOT be.silent.ptcp.nom/acc have.imp.2sg

"Keep (being) silent!"

(KUB 14.4 iv 11, NH/NS)



## **Periphrastic perfect = anterior**

```
nu=wa=za kar\bar{u} 30 É^{TUM} a\check{s}e\check{s}an conn=quot=refl already 30 house(acc) settle.ptcp.nom/acc harzi
```

have.prs.3sg

"[Pihinakki is occupying the town of Lipisira] and he has already settled 30 houses."

(HMK 10 rev. 6, MH/MS)

```
n=aš mān karū pānza
conn=3sg.nom if already go.ртср.nom
"And if he has already/formerly gone." (HKM 75, 23–24, MH/MS)
```



### Periphrastic perfects are AVCs

Clitics governed by *hark-/eš-*

nu=war=aš=za naḥḥān ḥardu

CONN=QUOT=3sg.NOM=REFL be.fearful.ptcp.nom/acc have.imp.3sg

"He shall behave respectfully [lit. keep being respectful]."

(KUB 36.118 8, MH/MS)

GAM-an kaninanza ēšdu

under crouch.ptcp.nom be.imp.3sg

"Let him be crouched down."

(VBoT 120 ii 17–18, MH/NS)

n=aš mān karū **pānza** 

conn=3sg.nom if already go.ptcp.nom

"And if he has already/formerly gone."

(HKM 75, 23–24, MH/MS)



## *hark-* and *eš-* as auxiliaries

```
išhēniu(š)=šmaš=kan UMB[IN<sup>MEŠ</sup>=y]a dān
hair(n).nom=3pl.dat=ptcl nail(pl)=conj take.ptcp.nom.n
```

ēšdu parkuwa=ya TÚG<sup>ḤI.A</sup> waššan

be.IMP.3sg clean.ACC.PL.N=CONJ cloth(N.PL) wear.PTCP.NOM/ACC

harkandu

have.IMP.3PL

"Let their hair and nails have been cut, and let (them) have put on clean clothes." (translation by Garrett 1996: 104. KUB 13.4 i 16 MH/NS)

**Auxiliary selection**: *hark-* with transitive/unergative vbs. and *eš-* with unaccusative



## Origin of *hark-* constructions?

Possessive origin (like Romance have-perfects):

Multa bona bene parta habemus (Plautus, *Trin*. 347) **BUT:** not compatible Many goods well obtained we-have with lack of agreement 'We possess many well obtained goods.'

Adverbial origin:

menahhanda hark- "to keep in front, to consider" arahzanda hark- "to keep surrounded, to protect" arha hark- "to keep away"

**BUT**: no evidence of n/a.n participles in adverbial function elsewhere!



#### The Hittite 'serial' constructions

+ biclausal + motion

- biclausal± motion
- biclausal

- motion

- (1) DUMU<sup>MEŠ</sup>.É.GAL appa **panzi** ta=z AŠAR-ŠUNU appanzi palace\_servants back go.PRS.3PL conn=refl place=their take.PRS.3PL 'The palace servants go back and take their places.' (KBo 20.8 i 20')
- (2) nu=za panzi AŠAR-ŠUNU appanzi CONN PTC go.PRS.3PL place=their take.PRS.3PL 'They (go) take their places.' (KBo 4.9 v 45)
- (3) GIM-an=ma=za vit ŠEŠ-YA <sup>m</sup>Arnuwandaš DINGIR<sup>LIM</sup> when=CONN=REFL come.PST.3SG brother=m A.nom god kišat become.PST.3SG.MID When my brother Arnuwanda (came) became god (=died).'



### **SVCs in IE languages and PIE?**

age abduce hasce intro quas mecum adduxi, Stiche.

Go take these (women) I've brought with me indoors, Stichus. (Pl. St. 418)

īt=war ašta pargamuš ḤUR.SAG.DIDLI.ḤI.A-ašaš šāḥ

Go search the high mountains. (KUB 17.10 i 24–5)

uwatten <sup>URU</sup>Nēša paiwani

Come, let us go to the city of Nesa. (KBo 22 Ro 15)



### Formal aspects: clitics

clitics are goverbed by the final verb and not by *pai-/uwa-*

*paiweni=war=an=kan kuennumeni* 

go.PRS.1PL=QUOT=3SG.ACC=PTC kill.PRS.1PL

'We shall (go) kill him.' (KBo 6.29 ii 24-26)

nu=<u>za</u> panzi AŠAR-ŠUNU appanzi CONN PTC go.PRS.3PL place=their take.PRS.3PL

'They (go) take their places.' (KBo 4.9 v 45)



#### **Semantics of SCVs**

"The construction indicates an event which is sequentially ordered following the event in the preceding clause." 

new event marker

paiweni=war=an=kan kuennumeni

go.prs.1pl=quot=3sg.acc=ptc kill.prs.1pl

'We shall (go) kill him.' (KBo 6.29 ii 24-26)

pai-

- controlled events
- + imperative/present
- 'and then'

GIM-an=ma=za uit ŠEŠ-YA
when=conn=refl come.pst.3sg brother=1sg.poss
marnuwandašDINGIR<sup>LIM</sup> kišat
A.nom god become.pst.3sg.mid
When my brother Arnuwanda (came) became god (=died).'

#### uwa-

- ± controlled events
- + past
- 'it happened that'



### The origin of pai-| uwa- constructions

```
n=aš URUAlmina andan pait
```

CONN=3SG. NOM A. into go.PST.3SG

'He went into (the town of) Almina.' (KBo 5.6 i 6)

```
nu DUTU-ŠI paun nu=za HUR.SAG Tarikarimun kuiš URU Gašgan CONN his_majesty go.PST.3SG CONN=REFL t.-mountain REL.NOM city k.ACC ešan harta n=an GUL-un occupy.PTCP have. PRT.3SG CONN=3SG.ACC conquer.PST.1SG 'Then I, His Majesty, went; (h) and I attacked those (g) Kaskaeans who had occupied the mountains of Tarikarimu.' (KBo 3.4 iii 57-66)
```

**Original contexts: usage without PPs** 

## Voice domain

Inglese 2017, Inglese 2020



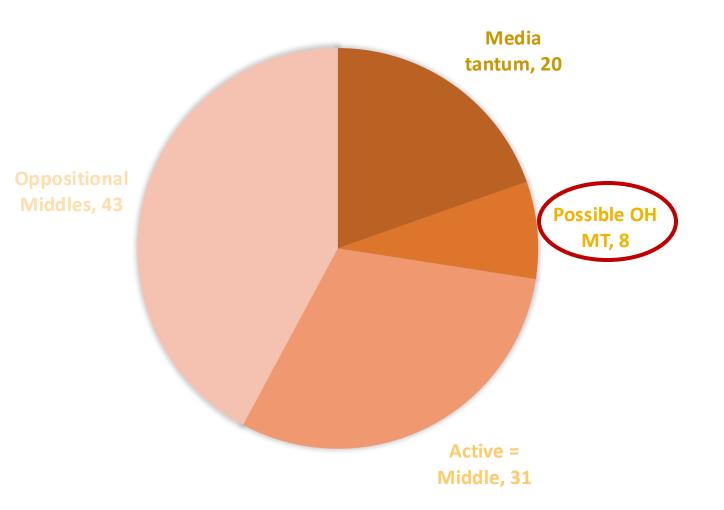
## The classification of Hittite middles



- **1. Non-oppositional**, or *media tantum*: verbs that only occur in the middle voice.
- 2. Oppositional: verbs that inflect in the middle voice to indicate a functional opposition with active transitive counterparts.
- 3. Optional: verbs that occur both in the active and in the middle voice without any synchronically distinguishable functional motivation.
- **4. Lexicalized**: active and middle forms show different meanings.

## Hittite middles in originals





#### **Problems** in identifying MT:

Limitations of the OS corpus: some verbs show active inflection in post-OH only!

OH paršia 'break' → NH paršiyami OH zaḥḥanda 'hit each other' → MH zaḥ-i 'hit'

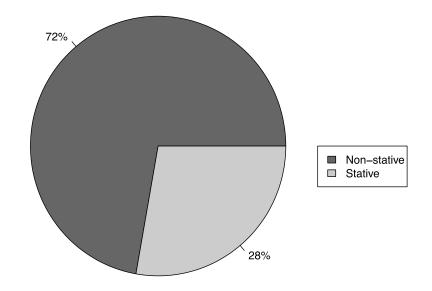
## 1. Non-oppositional middles



#### BASE

ar-tta(ri) 'stand'  $e\check{s}^{-a(ri)}$  'sit down' *hišwai-tta(ri)?* '(be) open' ye/a-tta(ri) 'go, march' *ištu-*<sup>ā(ri)</sup> 'get out, become known' *ki-tta*(*ri*) 'lie, be laid'  $k\bar{t}$ š-a(ri), kikkiš-tta(ri) 'become, happen' *kišt-*<sup>ā(ri)</sup> 'perish' tarra-tta(ri) 'be able, can (+ inf.)' *tukk-āri* 'be visible, be important' *ur*-<sup>āri</sup>, *war*-<sup>āri</sup> 'burn' *wakk-<sup>āri</sup>* 'be lacking'a *wešš-tta* 'wear'  $z\bar{e}^{-a(ri)}$  'cook (intr.)'

Neu (1968b: 117-119): are 'original' MT connected with stativity?



- 'Original' MT connected with intransitivity?
  - → OH MT include transitive (deponents) verbs: parš(i)- 'break'

## 2. Oppositional middles



**Oppositional middle** forms can have different functions when they enter a pattern of **voice alternation** (Hoffner & Melchert 2008, Luraghi 2012, Melchert forthc.):

Function	Active	Middle
ANTICAUSATIVE	zinni- <sup>zi</sup> 'bring to an end'	zinna-tta(ri) 'come to an end'
Passive	tamāšš- <sup>zi</sup> 'oppress'	tamāšš- <sup>ta(ri)</sup> 'be oppressed'
RECIPROCAL	<i>zaḫḫ-<sup>i</sup></i> 'hit'	zaḫḫanda 'they hit each other'
REFLEXIVE	<i>šuppiyaḫḫ-i</i> 'purify'	(=za) šuppiyaḫḫ-(tt)a(ri) 'purify oneself'

#### **Anticausative middles**



(1) ACTIVE TRANSITIVE VERB = INDUCED EVENT

maniyahinn=a tuk **zinnit** 

administration.ACC=CONJ 2SG.DAT finish.PST.3SG

"He brought the administration to completion for you." (KBo 321 ii 1, OH/NS)

(2) MIDDLE INTRANSITIVE VERB = PLAIN EVENT

[kui]tman=ma gimmanza nāwi **zinnat[tat]** 

until=PTC winter.NOM not.yet finish.PRS.3SG.MID

"And before winter is over." (KBo 2.5 iv 11, NH/NS)

### Decausative vs. autocausative



Distinction proposed by Geniušienė (1987: 86–89) and Haspelmath (1987: 27)

Autocausative: Hittite (Indo-European; Inglese 2020:511,509)

a. n=ašta <sup>GIŠ</sup>hulugannin EGIR-pa neyanzi

CONN PTC cart.ACC back turn.PRS.3PL

'And they turn the cart back.'

b. LÚ.MEŠ HÚB.BÍ nēanda

dancer(PL) turn.PRS.3PL.MID

**±** control

'The dancers turn around.'

### Passive middles



(1) ACTIVE = TRANSITIVE

man KUR-i LÚ<sup>KÚR</sup> ŪL **dammišḫaizzi**IRR land.ACC enemy NEG damage.PRS.3SG

"The enemy would not damage the land." (HKM 46.15-17, MH/MS)

(2) MIDDLE = PASSIVE

n=at lē dammišḫaittari

CONN=3SG.NOM.N NEG damage.PRS.3SG.MI

"It should not be damaged." (HKM 31 v 11-12, MH/MS)

## The Agent in the passive voice



nu=wa KUR <sup>URU</sup>Ḥatti ḥinganaz arumma CONN=QUOT land H. plague.ABL very

mek[ki] tamaštat

much oppress.PST.3SG.MID

"And the land of Hatti was severely oppressed by the plague." (KUB 14.10 i 7, NH/NS)

- Agents are only compatible with passives, never with anticausatives
- Expressed via the instrumental or ablative case, the Akk. prep. IŠTU, or the Sum. TA

TABLE 18 Agent expressions with passive middles

	ОН	МН	NH	Total
Oblique Agent	_ _	Inanimate: – Animate: 1	Inanimate: 16 Animate: 8	25
Agent omitted	6	18	173	197

## Passive/anticausative polysemy



- (1) n=an huišwandan **šarranzi**CONN=3SG.ACC live.PTCP.ACC split.PRS.3PL
  "They split them up (into groups) alive." (KUB 9.3 iv 8-9)
- (2) namma=aš arḫa **šarrattari**again=3SG.NOM away split.PRS.3SG.MID
  "(The enemy arrives at night) and then splits up." (KBo 5.6 i 22-23)
- (3) TU<sub>7</sub><sup>HI.A</sup> takšan **šarrattari**stew(PL) in.half split.PRS.3SG.MID
  "The stews are split in half." (KUB 20.76 i 15)

### Reflexive middles



- (1) ACTIVE = TRANSITIVE

  nu LUGAL-un šuppiyaḥḥi

  CONN king.ACC purify.PRS.1SG

  'And he purifies the king.' (KBo 17.11+ i 40)
- (2) MIDDLE (WITH =ZA) = REFLEXIVE
  - a. LUGAL-uš=za šuppiyaḥḥati
    king.NOM=REFL purify.PST.3SG.MID
    'The king has purified himself.'
    (KBo 25.112 ii 14)

b. it **šuppiaḥḥut**go.2sg.IMP purify.2sg.IMP.MID
'Go, purify yourself!'
(KBo 3.16 iii 8)

### The self-benefactive middle



- 1) autoû nautilloménoisi édōke khōrous **enidrúsasthai** bōmoùs kaì teména theoîsi
- 'To the sailors who arrived there [the king] granted spaces space to build temples and sanctuaries for their own gods.' (Hdt. 2.178.1) cf. *enidrúō* 'build'
- 2) *yó yájāti yájāte ít* (RV 8.31.1)

'Whoever sacrifices to the god on his own or someone else's behalf.'

"The self-beneficent meaning was one of the main functions of the Vedic, and, in general, ancient Indo-European middle (presumably going back to the proto-language)." (Kulikov 2008: 172)



unattested in Hittite!

## Reciprocal middles



(1) ACTIVE = TRANSITIVE

[...] <sup>URU</sup>Tuḫašunan **zaḫḫer** 

T.ACC hit.PST.3PL

"[...] they hit the town of Tuhasuna." (KUB 17.21 iv 2, MH/MS)

(2) MIDDLE = RECIPROCAL

takku LÚ<sup>MEŠ</sup> zahhanda

if man(PL) hit.PRS.3PL.MID

"If (two) men strike each other (and one of them dies)." (KBo 6.26 ii 16, OH/OS)

# Middle voice and reciprocity

Voice alternation and grammatical reciprocals

Verb	Active	Middle
ep-	'take'	'take each other'
=za šāi-	'become angry'	'quarrel'
zaḫ-	'hit'	'hit each other'

- **Reciprocal** *media tantum*: *zaḥḥiye/a-* 'fight', *parḥ-* 'chase' (?)
- Voice alternation with spatial lexical reciprocals → reciprocal anticausatives!

Table 2: Spatial reciprocals and middle voice

Verb	Active	Middle
ḫamenk-	'bind'	'be bound'
ḫantae-	ʻalign (tr.)'	'align (intr.)'
ђарр-	'attach'?	'be attached to someone'
ḫapuwai-	'bind'	'be bound'
ḫarp-	'join (tr.), to pile up'	'join (intr.)'
anda imiya-	'mix (tr.)'	'mix, mingle (intr.)'
išķia-	'bind'	'be bound'
lamm-	-	'mingle (intr.)'
lišša-	'gather (tr.)'	'gather (intr.)'
šārr-	'split (tr.)'	'split (intr.)'
šišša-	*'throw'	'collide'
takš-	'mix (tr.)'	'mix (intr.)'
tamenk-	'join (tr.)'	'join (intr.)'
tarupp-	'gather (tr.)'	'gather (intr.)'
ullai-	-	'mix (intr.)'

#### Voice alternation as intransitivization



```
ANTICAUSATIVE
```

ped[e]=šši=ya=at=kan

lē

place.DAT=3SG.POSS.DAT=CONJ=3SG.NOM.N=PTC

NEG

niniktar[i]

raise.PRS.3SG.MID

"(Behold, I have fixed in place the king's oath, curse, blood and tears [...]) let it not come loose in its place." (KBo 24.4+ rev. 7–10, NS)

#### REFLEXIVE

n=aš=za munnaittat

CONN=3SG.NOM=REFL hide.PST.3SG.MID

"(When Anu finished talking, he went up to the sky,) and hid himself." (КИВ 33.120 і 38, МН/NS)

#### Voice alternation as intransitivization



PASSIVE

n=at wemiya[t]taru

CONN=3SG.ACC.N find.IMP.3SG.MID

"(And the reason for which people die,) it should be found out!" (КИВ 14.10 iv 19, NH/NS)

RECIPROCAL

nu=war=at=za=kan šāntati

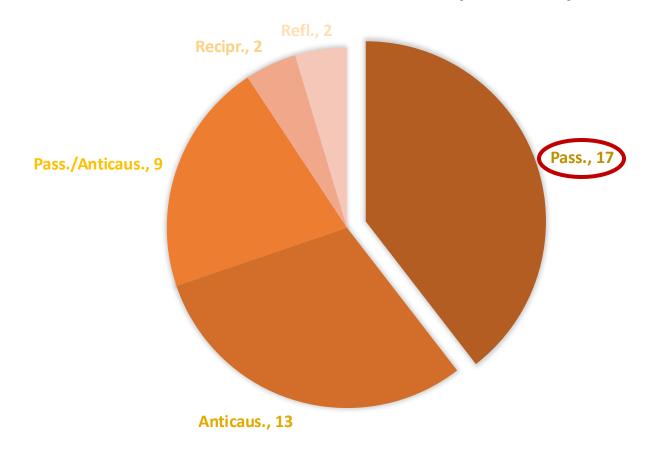
CONN=QUOT=3PL.NOM=REFL=PTC become.angry.PST.3PL.MID

"They became angry at each other." (KUB 12.26 ii 1–3, NS)

## Oppositional middle in the corpus



#### **FUNCTIONS OF THE MIDDLE (TYPE FR.)**

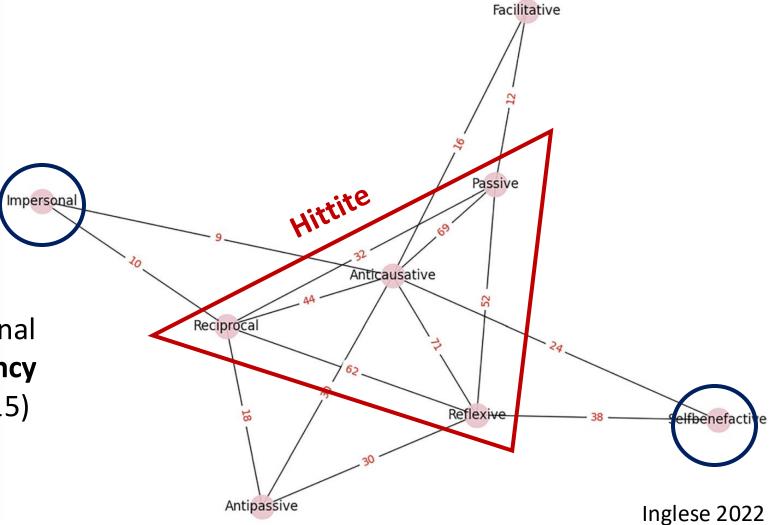


# Voice syncretism



«Voice syncretism refers to formal verbal marking shared by two or more voice operations» (Bahrt 2021)

The middle voice (in its oppositional function) is a **verb-sensitive valency changing marker** (Malchukov 2015)



## The classification of Hittite middles



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- 4. Lexicalized: active and middle forms show different meanings

## 3. Optional middles



Both active and middle forms of the verb occur in the corpus with **no difference** in syntax and semantics

[kuitman]n **nekuzzi** 

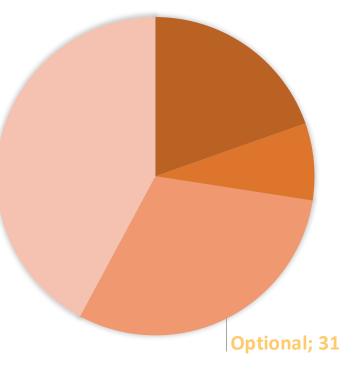
until become.evening.PRS.3SG

"While the evening is coming on (but the Sun is still up)." (KBo 15.22 8, NS)

maḥḥan=ma **nekuttat** 

when PTC become evening PST. 3SG. MID

"When the evening came (I turned around and went against ..., and I marched all night)". (KBo 5.8 iii 19–21, NH/NS)



# 4. Lexicalized middles



	Active	Middle
hai(n)k-	'offer'	'bow'
weriye/a-	'call'	ʻjoin'
usneske/a-	'pledge, balance'	'put up for sale'

## The middle voice in Old Hittite



**Crucial stage**: OH is the baseline for the comparative study of the middle voice in PIE as well as for the perspective history of the middle down to NH.

	Media tantum	Oppositional middles	Optional
ОН	27 (73%)	7 (19%)	3 (8%)

- What is the rationale behind the distribution of MT?
- What are the functions performed by oppositional middles?

### OH media tantum



• No straightfoward **functional motivation**: most MT are unaccusative change-of-state events, but this type of predicate can also occur in the active voice

$$kišt^{-\alpha(ri)}$$
 'perish' vs.  $\alpha k^{-i}$  'die'

- Etymology: is the middle voice of MT simply inherited from PIE?
  - **Inherited** formations: e.g. *ki-tta(ri)* 'lie' (Gr. *keîmai* 'lie')
  - Unclear cases: lack of PIE etymology, e.g. thus-a(ri) 'cut', or non-middle cognates, e.g. ur-āri 'burn' vs. OCS vireti 'cook'
  - New Hittite formations: irmaliye/a-tta(ri) 'be(come) ill' < erman- 'illness'</li>

In OH, the distribution of voice is **not** entirely driven by **semantic/syntactic** considerations, but the middle must have been a **productive** pattern, as it is able to attract **new verbs**.

## Oppositional middles in OH



• **Anticausative**: hantae-zi 'align (tr.)' vs. handai-tta(ri) 'align (intr.)'

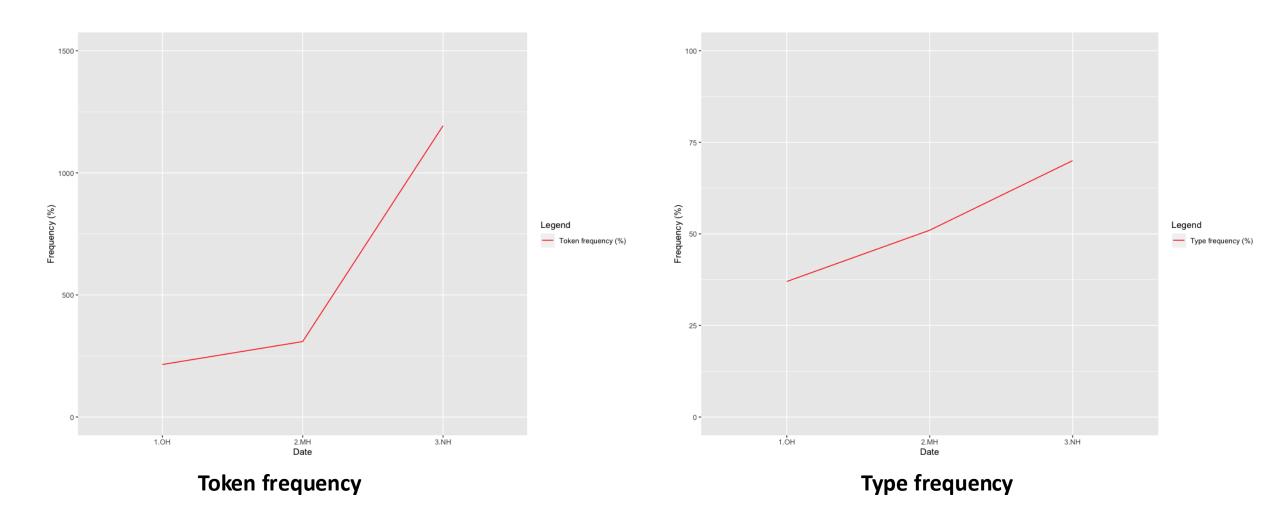
• Passive: markiye/a-zi 'refuse' vs. markiye/a-tta(ri) 'be refused (mid.)'

• **Reflexive**:  $suppiyahh^{-i}$  `purify' vs. (=za)  $suppiyahh^{-ta(ri)}$  `purify oneself'

• **Reciprocal**:  $z\alpha hh^{-i}$ 'hit' (post-OS) vs.  $z\alpha hh^{-t\alpha(ri)}$ 'hit each other'

## From OH to NH: some numbers

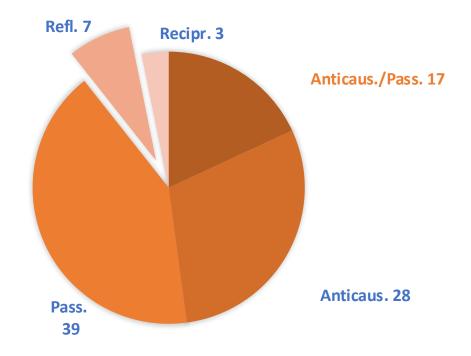




## A reflexive origin of the Hittite middle?



### 1) Few reflexive middles



### 2) Competition with the **'reflexive' particle =**za

- a. nu=za 6-ŠU walhanzi

  CONN=REFL six.times hit.PRS.3PL

  'They beat themselves six times.' (KUB 1.14 ii 8)
- b. LUGAL-uš=za šuppiyaḥḥati
  king.NOM=REFL purify.PST.3SG.MID
  'The king has purified himself.' (KBo 25.112 ii 14)

## Oppositional vs. non-oppositional middles



	Media tantum	Oppositional	Optional
ОН	27 (73%)	7 (19%)	3 (8%)
MH	16 (30%)	19 (37%)	17 (33%)
NH	13 (18%)	32 (45%)	26 (37%)

### The demise of *media tantum*



- The class of *media tantum* is not **productive**! Even in NH, most 'new' MT do have a good PIE etymology, so they are likely to be inherited.
- Two factors alter the distribution of MT:
  - O Deponents (e.g.  $tuhs^{-a(ri)}$  'cut') and -ske/a- intransitives (e.g.  $paiske/a^{-tta(ri)}$  'go') are **analogically transferred** to the active inflection (thus enlarging the A=M class).
  - Old media tantum develop active oppositional counterparts, e.g.  $lazziye/a^{-tta(ri)}$  'be(come) good' (OH) >  $lazziye/a^{-zi}$  'set straight' (NH).

# The rise of optional marking



- The **chronology** of active and middle forms shows that either voice is older/original  $\rightarrow$  voice fluctuations are **secondary**!
- The rise of voice fluctuation is the result of analogy:
  - **Systematic transfer:** deponents (e.g.  $tuhs^{-a(ri)}$  'cut') and -ske/a-intransitives (e.g.  $paiske/a^{-tta(ri)}$  'go')  $\rightarrow$  active inflection;
  - Sporadic transfer: unaccusative intransitive active verbs → middle inflection; on analogy to semantically close MT, e.g. active huwai- 'run' sporadically middle analogically to iye/atta(ri) 'march'.



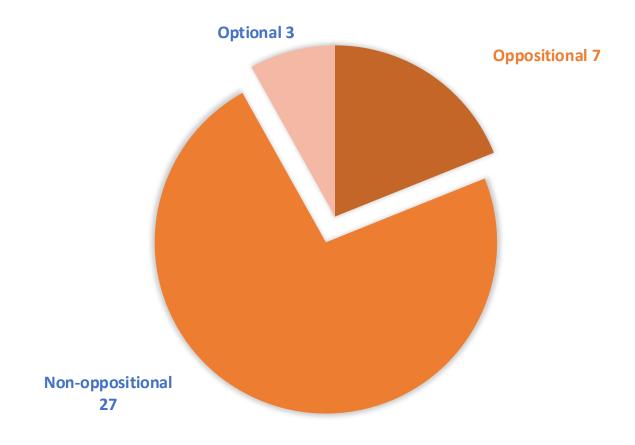


	ANTICAUSATIVE	PASSIVE	ANTIC./PASS	RECIPROCAL	REFLEXIVE
ОН	3	2	0	1	1
МН	6	7	6	0	1
NH	9	13	8	1	0

# Alternative scenario: stage I



**Stage I**: verbal voice was lexically determined (active alignment system?)



## Stage II: the anticausative alternation



Among *media tantum*, the largest group includes uncontrolled states and change-of-state events (Luraghi 2012: 14-16):  $k\bar{l}\tilde{s}$ -a(ri), 'become, happen',  $ki\tilde{s}t$ - $\bar{a}(ri)$  'perish', lukk-tta 'get light, dawn', marriye/a-tta(ri), marra-tta(ri) 'melt, stew (intr.)'

Class	Verbs
Change in body posture	1
Positional verbs	2
Translational motion	3
Spontaneous events	10
Natural reciprocals	1
Transitive deponents	2
Other	1

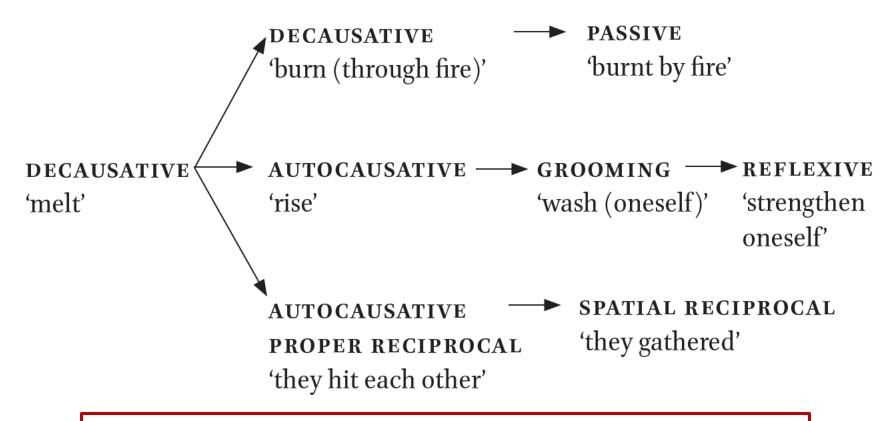
 Emergence of voice alternation to express the anticausative alternation

#### Voice polarization:

- Active voice with typically transitive verbs;
- Active voice with causatives of media tantum (kist-ari vs. kista-nu-zi);
- Lexical pairs: *kīš-ari* 'happen' vs. *ye/a-zi* 'cause to happen'.

## Stage III: new oppositional functions





"[...] middle markers from **non-reflexive sources** will not develop into markers of reflexive semantics" (Kemmer 1993: 229)

## Anticausative > reflexive



a. [karitt]eš **nininkanta** 

flood.NOM.PL raise.PRS.3PL.MID

'Floods will get moving.' (KUB 8.1 iii 21, NS)

Other **motion verbs**: park(iye/a)-tta(ri) 'raise (intr.)',  $n\bar{e}$ -a(ri) 'turn
(intr.)', and weh-ta(ri) 'turn'!

b. *nu mān* <sup>LÚ</sup>KÚR *kuiški niniktari* 

CONN if enemy INDF.NOM raise.PRS.3SG.MID

'If some **enemy** mobilizes (and goes to attack these borders).' (FHL 57+ iii 46-47)

The possibility of **animate subjects** to occur with otherwise **decausative** verbs led to the expansion of the **autocausative** use, hence providing the natural bridging context to **reflexive** situations proper

### Anticausative > reciprocal anticausative > reciprocal



[kui]tman=ma gimmanza nāwi **zinnat[tari**]

until=PTC winter.NOM not.yet finish.PRS.3SG.MID

"And before winter is over." (KBo 2.5 iv 11, NH/NS)

DINGIR<sup>MEŠ</sup>≤ya ķūmanteš taruppantat

god(PL) CONJ all.NOM.PL gather.PST.3PL.MID

"The gods gathered all together." (KUB 36.97 obv. 6, NS)

takku LÚ<sup>MEŠ</sup> zahhanda

if man(PL) hit.PRS.3PL.MID

"If (two) men strike each other (and one of them dies)." (KBo 6.26 ii 16,

 $OH/NS)^{14}$ 

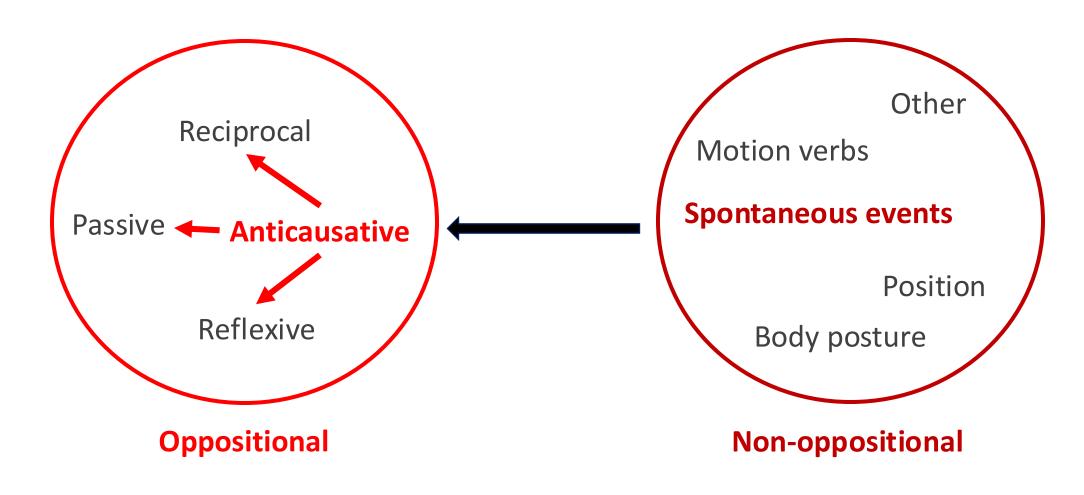
Decausative

Reciprocal anticausative

Reciprocal

# The diachrony of the Hittite middle





## The paradigmaticization of voice



LEXICAL DISTRIBUTION	GRAMMATICAL DISTRIBUTION			
verbs only occur in the active	(transitive) verbs freely occur			
or in the middle voice	in both voices to indicate			
	valency reducing operations			

- Paradigmaticization: verbal voice becomes integrated as full-fledged inflectional category within verbal paradigms, as e.g. number, tense, mood. The same development took place in e.g. Indo-Aryan (cf. Lazzeroni 1990, 2004) and Ancient Greek (cf. Sausa 2016);
- Paradigm regularization: in NH the middle paradigm becomes increasingly regularized, e.g. expansion of -ri and  $-t\alpha$  endings.

### The causal-noncausal alternation



Grammatical alternation whereby languages encode events that are conceived as brought about by an external volitional entity as opposed to ones that are portrayed as coming about spontaneously.

Language	Verb pair	Verb pair		
	Spontaneous	Externally caused		
Hittite	ze- 'cook (intr.)'	<i>za-<b>nu</b>- '</i> cook (tr.)'	CAUSATIVIZATION	
Hittite	zinna- <sup>tta</sup> 'finish (intr.)'	zinna- <sup>i</sup> 'finish (tr.)'	INDETERMINATE	
Russian	serdit'- <b>sja</b> 'get angry'	serdit' 'make angry'	ANTICAUSATIVIZATION	

# Transitivizing morphology

-*nu*- and -*αħħ*- originally in complementary distribution as to the type of adjective stems (Oettinger 1979: 238-255)

- 'causative' -nu- (Luraghi 1992):
  - intr. verb: ar- 'arrive' > ar-nu- 'cause to arrive'
  - tr. verb: zai- 'cross' > zinu- 'let cross'
  - adj.:  $da\check{s}\check{s}u$  'strong' >  $da\check{s}(\check{s}a)$ -nu- 'make strong'
  - noun: 'ešḥar- 'blood' > ešḥarnu- 'make bloody'
- 'factitive' -αḫḫ-:
  - *šuppi-* 'pure' > *šuppiaḥḥ-* 'purify'
  - išḫiul- (n.) 'treaty' > išḫiuαḫḫ- 'bind by treaty'
- nasal infixation:
  - ištark- 'get sick' > ištarnink- 'make sick, afflict'
  - *nini(n)k-* 'raise, set in motion'

< PIE \*- $eh_2$ -newahh- = Lat. novare 'make new'

# Transitivizing morphology



arḥaya=an=ma=kan kanga-nu-mi

besides=3sg.acc=conn=ptc weigh-caus-prs.1sg

'(I will build statue of Hattusili in silver) and I will also have it weighed.' (KUB 21.27 iii 42)

takku LÚ-aš GU4-ŠU ÍD-an zī-nu-škizzi

if man.NOM ox-his river.ACC cross-CAUS-PLUR.PRS.3SG

'If a man is making his ox cross a river.' (KBo 6.2 ii 30)

For adjectival bases: equipollent causal/noncausal alternation

idalu- 'bad' > idaluw-ešš- 'become bad' vs. idal-aħħ- 'make bad'

## Causal/noncausal alternation



### Lexical restrictions (van Lier & Messerschmidt 2022):

 AGENT-ORIENTED MEANING COMPONENTS: anticausativization is banned for verbs that lexicalize a specific causer/manner (Koontz-Garboden 2009)

	Voice alternation	Causative suffixation
Telicity	telic verbs	±telic verbs
Animacy	inanimate verbs	±inamate verbs

based on Luraghi 2012

## Periphrastic passive



```
GIŠTUKUL<sup>HI.A</sup>-iš=wa=tta šiunit piyanteš
weapon.Nom.PL=QUOT=2sG.DAT god.INS give.PTCP.Nom.PL
"The weapons are given to you by the Gods." (KBo 22.6+ i 25 OH?/NS)

ANA LUGAL KUR <sup>URU.d</sup>U-tašša=at piyan
to king land T.=3sG.Nom.N give.PTCP.Nom.N

"It is assigned to the king of Tarhuntassa." (Bronzetafel ii 7, NH/NS)
```

Copula + P-resultative participle > passive (vd. Lat. amatus est)

«The resultative is often similar to the passive in that it usually makes the patient the subject of the clause.» (Bybee et al. 1994: 54)

# The 'reflexive' particle $=z\alpha$



Host	1	2	3	4	5	6
<ul> <li>Accented word</li> </ul>	=war	=naš	=a-	=mu	=z(a)	=an, =apa
(+ = (y)a/(m)a/man)		=šmaš		=ta/du		=ašta, =kan
• Connectives: <i>nu, šu, ta</i>				=še/i		=šan

a. *n=an* unuzzi

CONN\*ACC.SG adorn:3SG.PRES.ACT

'Hei adorns himii.' (KBo 38.265 obv. 11')

b. *unut≠wa≠***z** 

adorn:3SG.PRET.ACT QUOT REFL

'He adorns himself.' (KUB 31.143 obv. ii 23)

**NB**: =za < \*=toi `to thee' via Luwian (Yakubovic 2010)?

## Other valency related functions



Indirect reflexive/self-benefactive

NINDA-an=za wemiyanun

bread.ACC\*REFL find.PST.1SG

'I found bread for myself.' (KUB 30.10 obv. 16, OH/MS)

Possessive (coreference possessor = subject)

nu=zza DUMU.MUNUS.MEŠ=ŠA ANA DUMU.NITA.MEŠ=ŠA

CONN\*REFL daughters\*POSS DAT sons\*POSS

paiš

give:3SG.PRET.ACT

'She gave her daughters to her sons (in marriage).' (KBo 22.2 obv. 17)

## Other valency related functions



#### Autocausative

nu=z ANA PANI EN-YA kuit šara **huittiyan harkun**CONN=REFL to presence lord=1SG.POSS because up pull.PTCP.N/A. have.PST.1SG
'Given the fact that I had pulled up myself before my lord.' (KUB 19.23 obv. 9)

### Antipassive?

nu=za eter ekue[r]

CONN=REFL eat:3PL.PRET.ACT drink:3PL.PRET.ACT

n=ašta DUGpalḫan ḫumandan ek[uer

CONN=PART p:ACC.SG.COM all:ACC.SG.COM drink:3PL.PRET.ACT

'They ate and drank (with =za); they drank off a whole p.-vessel (without =za), and they were/became sated' (KUB 17.5 i 10–12, NH)

## Reciprocal = $z\alpha$



man=e=za idalaweššanzi

if=3pl.nom=refl become.evil.prs.3pl

"If they have a falling out (lit. become evil at each other)."

(KBo 6.2 iii 8–11) direct reciprocal

nu=za É-er takšan **šarranzi** 

CONN=REFL house.ACC together split.PRS.3PL

"They shall split up the household equally among each other."

indirect reciprocal

(KBo 6.3 ii 19)

weš=a=wa=za šarraweni

1PL.NOM=PTC=QUOT=REFL split.PRS.1PL

"We split up."

(KUB 24.8 iv 5)

autocausative reciprocal

# Reciprocal = $z\alpha$



**Table 4.** Reciprocal constructions with the particle =za

Verb	Without $=za$	With $=za$
idalawešš- <sup>zi</sup>	'become evil'	'quarrel'
hurt- <sup>zi</sup>	'curse'	'curse at each other'
šārr- <sup>zi</sup>	'split (tr.)'	'split (tr. indirect recip.)'
hanna- <sup>ta(ri)</sup>	'contest, judge'	'quarrel'
šarra- <sup>ta(ri)</sup>	'split (intr.)'	'split (intr.)'

## $=z\alpha$ in copular sentences



Non-third person subject in nominal clauses:

```
nu=war=aš LÚ-iš ešta

CONN=QUOT=3SG.NOM man.NOM be.PST.3SG

uga=wa=z UL imma LÚ-aš

1SG.NOM=QUOT=REFL NEG besides man.NOM

'He was a man, am I not a man, too?' (KUB 23.72+ obv 42)
```

NB: from MH onwards, calque from Luwian (Yakubovic 2010)?

### $=z\alpha$ as a telic marker



nu=za munus.lugal katta ešhahat

CONN=REFL queen down sit.pst.3sg.mid

"And I, the queen, sat down." (KUB 31.71 ii 2 NH/NS)

nu=wa=ši kāš <sup>LÚ</sup>KARTAPPU

CONN=QUOT=3SG.DAT DEM.NOM charioteer

pedi∍ši **ešaru** 

place.DAT sit.IMP.3SG.MID

"Let this charioteer remain seated in his place." (кив 14.3 ii 72, ин/иѕ)

## Other usages



### 'Transitivity toggle'

(33)

a. *nu=kan* 

dUTU-uš

nepiša[(z

katta

šakuw)]ait

CONN=PTC

Sungod.NoM

sky.ABL

down

look.pst.3sg

'The Sungod looked down from heaven...'

b. *nu=za* 

[(dUllikummin)]

šakuiškizzi

CONN=REFL

Ullikummi.acc

see.pst.3sg

'...and saw Ullikummi.' (KUB 33.92 + rev. iii 18'-19', NS)

### Lexicalized usages:

- =za iya- 'celebrate a festival' vs. iya- 'do'
- =za kiš- 'become' vs. kiš- 'occur'

## $=z\alpha$ and unaccusativity



#### n=aš=zamunnaittat

CONN 3SG NOM REFL hide PST 3SG MID

'(When Anu finished talking, he went up to the sky,) and hid himself.' (KUB 33.120) i 38, MH/NS)

unut=wa=z.

adorn:3SG.PRET.ACT QUOT REFL

=za creates unergative verbs?

'He adorns himself.' (KUB 31.143 obv. ii 23)

nu≥**z.a** 

6-ŠU

walhanzi

CONN\*REFL

six times hit.PRS.3PL

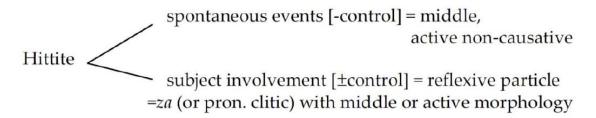
'(Afterwards the two priests of the God Zilipuri come) they beat themselves six times.' (KUB 1.14 ii 8)

### $=z\alpha$ vs. the middle voice

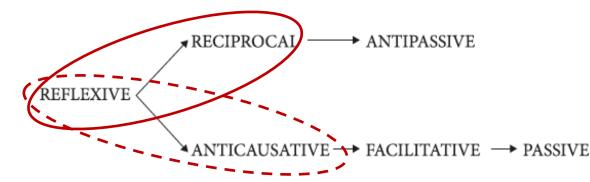
The overlap starts by MH!



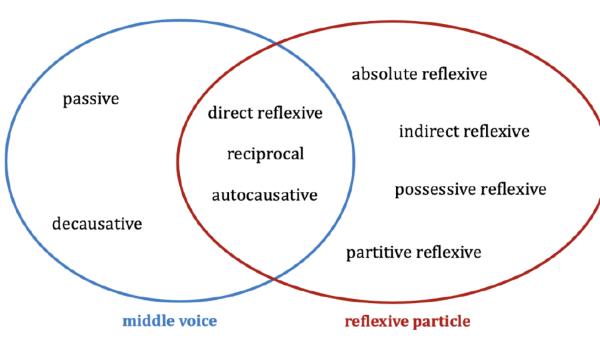
#### **Original distribution**



The functional development of =za follows known **grammaticalization** pathways of reflexives (Inglese 2023)



#### Polarization and partial convergence



see Sansò 2010

## Reciprocal polyptotic constructions



"Reciprocal markers made up of the repetition of very same lexical item in different case forms." (Kulikov 2014)

- *šia-...šia-* lit. 'one...one', *ka-...ka-* lit. 'this...this', *ara-...ara-* lit. 'friend...friend'
  - a. kuiš=at ŪL=ma paḫšari
    REL.NOM=3SG.ACC.N NEG=PTC protect.PRS.3SG.MID
    "Who does not protect it [...]." (KBo 16.25 i 60)
  - b. **1-aš**=wa **1-an** paḥšaru one.NOM=QUOT one.ACC protect.IMPV.3SG.MID "Let them protect each other." (Bronzetafel ii 34)

## Polyptotic constructions



MULTIPLE EVENT SITUATION

- a. [1-]aš 1-an kuwaškit
  one.NOM one.ACC kill.IMPF-PST.3SG
  "They killed each other continuously." (KBo 2.5 iv 18)
- b. nu **1-aš 1-edani** ŠEŠ-tar hatreškizzi

  CONN one.NOM one.DAT brotherhood(N).ACC write.IMPF-PRS.3SG INDIRECT RECIPROCAL, NON"They write 'brotherhood' to each other." (KUB 23.102 i 12)

  SIMULTANEOUS
- d. NINDA-an=man 1-aš 1-el e[zzatta]
  bread.ACC=IRR one.NOM one.GEN eat.PST.3SG

  "They would have eaten each other's bread." (KUB 23.103 rev. 5)
- e.  $[nu=\check{s}\check{s}a]n$  **1-**EN **1-**edan[i]  $\check{s}er$  tiyanzi CHAINING EVENT, CONN=PTC one one.DAT above put.PRS.3PL ADVERBIAL "And they place one on top of the other." (KBo 29.94 iv 10)

### Polyptotic constructions



Bipartite quantifier NP strategy (Evans 2008: 46)

- They are markers of **grammatical** reciprocals (Nedjalkov 2007a: 10)
- Several **syntactic environments**: subject-oriented reciprocals, both direct and indirect, possessive (2c), and adverbial.
- **Binary** reciprocals (Maslova 2008: 230): they leave the valency of the base verb unaltered (as shown by e.g. number agreement with only the subject one).
- Different reciprocal situations: canonical, multi-participants, sequential, and chaining reciprocals.

#### The diachrony of polyptotic constructions



**Conceptual schema** underlying the development of polyptotic construcions (Heine & Miyashita 2008):

- REPETITION schema: the iteration of two identical NPs encodes reciprocity, es. Lat. homo homini lupus 'man is wolf to man'
- $\check{s}ia$ -... $\check{s}ia$  'one...one' and ka-...ka- 'this...this' = ONE-ANOTHER reciprocal schema
- ara-...ara- 'friend' = COMRADE schema

Stages of the **development** of polyptotic constructions:

(distributive >) underspecified anaphors > reciprocal interpretation under negation > reciprocal interpretation in all contexts (cf. Bar-Asher Siegal 2014)

#### Distributive šiα- 'one'



- a.  $nu=\check{s}\check{s}an$  1-ez KÙ.BABBAR KÙ.GI NA<sub>4</sub><sup>HI.A</sup>=ya

  CONN=PTC one.ABL silver gold stone(PL)=CONJ

  hūmanduš dāi 1-edaz=ma=ššan šalwinan dāi

  all.ACC.PL put.PRS.3SG one.ABL=PTC=PTC dirt.ACC put.PRS.3SG

  "(The Old Woman takes a pair of scales). On one side she puts all silver, gold, and (precious) stones and she puts dirt on the other (side)."

  (KUB 30.15 + obv. 27–28)
- b. ta EN ERÍN<sup>MEŠ</sup> 1-an 1-an and anda tarneškizzi CONN lord troop(PL) one.ACC one.ACC in let.IMPF-PRS.3sG "He admits the military commanders one by one." (VAT 13 016 iv 10)

#### From repetition to contrast



Two symmetric events elicited as simultaneously co-occurring are easily understood as in **oppositive contrast** to each other (cf. Hopper & Traugott 1993: 84–86; Mauri 2008: 122)

```
kāšš=aGIŠŠUKUR ZAB[AR harzi]kāšš=aDEM.NOM=CONJ spearbronze hold.PRS.3SG DEM.NOM=CONJGIŠŠUKUR ZABAR [(harzi)]spearbronze hold.PRS.3SG"(The two palace attendants are standing.) Both the one and the other hold abronze spear."(KBo 17.1 ii 21–22; transl. by Goedegebuure 2014: 331)
```

### Underspecified anaphor



```
nu araš ari tezzi

CONN fellow.NOM fellow.DAT talk.PRS.3SG

"Then a colleague tells to a colleague." (IBoT 1.36 i 43)

LÚaraš=ma=za aran kattan peškit

fellow.NOM=PTC=REFL fellow.ACC down give.IMPF-PST.3SG

"And friend betrays his own fellow." (KBo 2.5+ iv 16)
```

 $k\bar{a}\dot{s}=man$   $k\bar{u}n$  epzi  $[k]\bar{a}\dot{s}=a=man$ 

DEM.NOM=IRR DEM.ACC take.PRS.3SG DEM.NOM=PTC=IRR

kūn epzi

DEM.ACC take.PRS.3SG

"If one would arrest the other and one the other." (KBo 6.2 ii 56–57)

### Negated underspecified anaphors



nu **1-aš 1-edani** lē idalawēšzi

CONN one.NOM one.DAT NEG become.evil.prs.3sg

"One shall not think of evil against the other."

(KBo 5.4 rev. 3)

nu  $L^{\hat{U}}$ ar  $[a\check{s}]$   $L^{\hat{U}}$ aran  $l\bar{e}$  au $\check{s}zi$   $k\bar{a}\check{s}\check{s}=a$   $l\bar{e}$ 

CONN fellow.nom fellow.acc neg see.prs.3sg dem.nom=conj neg

[kūn] išdammašzi

DEM.ACC hear.prs.3sG

"One shall not see the other, and they shall not hear each other."

(KBo 6.2 ii 56–57)

## The grammaticalization of ara-



Semantic bleaching: ara- 'friend' > 'each other'

nu araš aran a[rha UL] tarnai

CONN fellow.NOM fellow.ACC away NEG leave.PRS.3sG

"(As this onion is wrapped by its peel), and one (layer) does not release the other."

(KBo 21.41+ rev. 38)

Akkadian model?

aḫ-...aḫ- 'one...the other' < aḫum 'brother', (Bar-Asher Siegal 2014)

Univerbation (e.g. Gr. ἀλλήλων)?

b. 2 LÚMEŠSÁNGA GAL LÚaraš LÚaran ZAG-a[n ŠU-an]
2 priest(PL) big fellow.nom fellow.acc right.acc hand.acc
KAxI=ŠUNU=ya kuwaššanzi
mouth=3PL.POSS=CONJ kiss.PRS.3PL
"Two high priests kiss each other on the right hand and their mouth."

(KUB 20.88 i 1)

#### **Summary: Hittite reciprocal constructions**



**Table 5.** Hittite reciprocal constructions

	Type of marker	Polysemy	Type of reciprocal predicate	Type of event	Diathesis	Valency of the base verb
Middle voice	morphological	anticausative/ reciprocal	Lexical/ Grammatical	single	subject-oriented direct	decreased
Particle =za	clitic	reflexive/ reciprocal	Grammatical	single	subject-oriented direct/indirect	decreased
Polyptotic constructions	bipartite NP	distributive/ reciprocal	Grammatical	single/ multiple	subject-oriented direct/indirect, possessive, adverbial	unaltered

**Table 13:** Parameters of distribution of RCs in Homer.

Middle voice/Preverbs	allḗlōn		
subject-/object-oriented	subject- (dir./indir.), object-, adverbial, possessive		
lexical reciprocals	grammatical and lexical reciprocals		
spatial reciprocal, single-event situations	proper and spatial reciprocals, all situation types		

## Summary: valency change



Table 16: Valency changing constructions

	Voice	Reflexive	Periphrastic	Transitivizing	Polyptotic
	alternation	particle	passive	suffixes	construction
		=za			
(Anti)causative	+	+	-	+	-
Passive	+	-	+	-	-
Reflexive	+	+	-	-	-
Self-beneficiary	-	+	-	-	-
Reciprocal	+	+	-	-	+
PIE inheritance	+	-	(+)	+	-

# **Productivity**



	Type frequency	Generality	Regularity
Anticausative			
Middle voice	-	-	-
Transitivizing suffixes	+	+	+
Reflexive			
Middle voice	-	-	-
Particle =za	+	+	-
RECIPROCAL			
Middle voice	-	-	-
Particle =za	-	+	-
Polyptotic forms	+	+	+
PASSIVE			
Middle voice	-	-	<del>-</del>
Periphrastic passive	-	-	-

(based on Barðdal 2008)

